IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Scott R. Smith.

Appln. No.: 10/017,213

Filed

: December 14, 2001

For

RECANALIZATION OF OCCLUDED

VESSEL USING MAGNETIC

RESONANCE GUIDANCE

Docket No.: S13.12-0111

Group Art Unit: 2862

Examiner: ---

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

PATÉNT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the European Patent Office in counterpart foreign application No. PCT/US 02/39450 filed December 10, 2002.

### TIME OF FILING

The information disclosure statement is being filed:

- 1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
- 2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37

C.F.R. § 1.113 or notice of allowance under 37 C.F.R.
§ 1.311. Therefore, in accordance with 37 C.F.R. §
1.97(c), submitted herewith is:

(check either A or B below)

- A. \_\_\_ a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_\_\_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
  - A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### STATEMENT

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify  $\frac{1}{2}$ 

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three—months—prior to—the—filing—of—this—statement.—37

C.F.R. § 1.97(e)(2).

METHOD C	)F	PA'	$\mathbf{YMI}$	ΞN	т
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X	No fe	ee is	requi	red.			
	Attached	is a	check	in the	amount	of	\$

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Βv

Joseph R. Kelly, Reg. No. 34,847 Sprite 1600 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-331/9

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JRK:slg

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FORM PTO-1449	Atty. Docket No.: \$13.12-0111	Appl. No.: 10/017,213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor	r:
	Scott R. Smith	
MAR 2 9 2004 (S)	Filing Date	Group Art:
	December 14, 2001	2862
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# U.S. PATENT DOCUMENTS

Examine: Initial		Date	· Name	Class	Sub Class	Filing Date If Appropriate
AA	5,792,055	08/11/98	McKinnon	600	401	
AB	5,318,025	06/07/94	Dumoulin et al.	128	653	
AC	5,928,145	07/27/99	Ocali et al.	600	410	
AD						
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AG						-
AH				-		
AI						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
,AJ	WO 02/085216 A1	31.10.02	PCT			
AK	WO 00/25672	11.05.00	PCT			
AL	WO 98/52461	26.11.98	PCT			
AL	PCT/US/02/39450	10/12/03	PCT Search Report			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO		
	AP		
	AQ		
EXAMIN	ER:	DATE CONSIDERED:	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor : Scott R. Smith

Appln. No.: 10/017,213

Filed: December 14, 2001

For : RECANALIZATION OF OCCLUDED

VESSEL USING MAGNETIC RESONANCE GUIDANCE

Docket No.: \$13.12-0111/00-260

Group Art Unit: 2862

Examiner: ---

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

DAY OF \_

PATENT ATTORNEY

Sir:

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### TIME OF FILING

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#### METHOD OF PAYMENT

X	No f	ee	is	requi	ced.	•			
Att	ached	is	а	check	in	the	amount	of	\$

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Βy

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JRK:slg

FORM PTO-1449

Atty. Docket No.: \$13.12-0111/00-0260

Appl. No.: 10/017,213

MAR 2.9 2004 P

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

First Named Inventor:

Scott	R.	Smith
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Filing Date	Group Art:
December 14, 2001	2862

#### U.S. PATENT DOCUMENTS

Examiner Initial				Name	Class	Sub Class	Filing Date If Appropriate	
	AA	6,304,769	10/16/01	Arenson et al.	600	424		
	AB	6,246,896	06/12/01	Dumoulin et al.	. 600	411	·	
	AC	6,210,408	04/03/01	Chandrasekaran et al.	606	41		
ر .	AD	6,165,139	12/26/00	Damadian	600	585		
	AE	6,019,737	02/01/00	Murata	600	585		
	AF	6,016,439	01/18/00	Acker	600	411		
	AG	5,792,055	08/11/98	McKinnon	600	410	·	
	AH	5,681,260	10/28/97	Ueda et al.	600	114		
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	AJ	5,603,320	02/18/97	Dumoulin et al.	128	653.2		
	AK	5,386,826	02/07/95	Inglis et al.	128	207.14		

#### FOREIGN PATENT DOCUMENTS

	Document No.	Date -	Country	Class	Sub Class	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER:			DATE CONSIDERED:	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

Atty. Docket No.: \$13.12-0111/00-0260 Appl. No.: 10/017,213

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

First Named Inventor:

Scott R. Smith	Sc	oti	tR		Smi'	th
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Filing Date Group Art: 2862

December 14, 2001

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,364,393	11/15/94	Auth et al.	606	34	
AB	5,318,025	06/07/94	Dumoulin et al.	. 128	653.2	
AC	5,271,400	12/21/93	Dumoulin et al.	128	653.2	
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#### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						·
 AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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